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## **AT-LARGE ADVISORY COMMITTEE**

### **ALAC Statement on the Proposed 2013 RAA Posted for Comment**

#### **Introduction**

By the Staff of ICANN

An initial draft of this Statement was composed by Alan Greenberg, ALAC member from the North American Regional At-Large Organization (NARALO) and ALAC Liaison to the GNSO, after discussion of the topic within At-Large and on the mailing lists.

On 7 March 2013, this Statement was posted on the [At-Large Proposed 2013 RAA Posted for Comment Workspace](#).

On 26 April 2013, a call for comments on the draft Statement was sent to At-Large members via the [ALAC Announce mailing list](#).

On 11 April 2013, this Statement was discussed in the [ALAC & Regional Leadership Wrap-up Meeting](#).

During that meeting, the draft Statement was discussed by all present At-Large members, as well as those participating via Remote Participation.

The Chair of the ALAC then requested that a ratification vote be held on the Statement.

Staff then confirmed that the vote resulted in the ALAC endorsing the Statement with 14 votes in favor, 0 votes against, and 0 abstentions.

You may review the result independently under: <https://community.icann.org/x/GQV-Ag>.

The Chair then requested that the Statement be transmitted to the Public Comment process, copying the ICANN Staff member responsible for this Public Comment topic.

[End of Introduction]

The original version of this document is the English text available at <http://www.atlarge.icann.org/correspondence>. Where a difference of interpretation exists or is perceived to exist between a non-English edition of this document and the original text, the original shall prevail.

# ALAC Statement on the Proposed 2013 RAA Posted for Comment

The ALAC is generally supportive of the RAA and associated specifications as posted for comment. Moreover, the ALAC is generally in agreement with ICANN on the issues where ICANN and Registrars disagree.

The proposed RAA is much better than its predecessor. It provides clarity where previously obscurity and even obfuscation ruled, and many of the omissions of earlier RAAs have been addressed. All parties in the current round of negotiations are to be congratulated.

The ALAC is particularly pleased to see the new sections on Privacy and Proxy registrations; resellers; the Whois Accuracy Program Specification; uniformity of Whois; and a clear, concise simple-language statement of registrant rights and responsibilities.

On a process level, the ALAC wishes to commend ICANN staff for presenting this information in such a way and with multiple views so as to make this very complex set of documents and the differing viewpoints comprehensible.

That being said, there are a number of issues where:

- The ALAC is uncomfortable with the position that ICANN has taken; and
- The ALAC believes that additional changes are necessary.

## ALAC positions on disputed terms or sections requiring additional change

Issue	ALAC Position
RAA 3.3.1 – Registrar port 43 access for thick registries	The ALAC does not have a strong position on this, but some members believe that in the absence of a compelling reason from ICANN as to why the port 43 service should be maintained for thick registries, the registrar position is reasonable. Given the current PDP on using a Thick Whois for all registries, the ALAC suggest a middle ground. Specifically, that RAA 3.3.1 require that Registrars provide port 43 access as long as there are Thin registries in existence. If and when there are no longer any Thin registries, Registrars will no longer be required to provide Port 43 access.
RAA 6.3 – Special Amendment by ICANN without Registrar approval	The ALAC is sympathetic with the rationale for this clause. Specifically, the regular amendment process which can and apparently does take several years, followed by up to five years delay before all registrars are subject to the new RAA is simply too long to address issues that have “substantial and compelling need”. ICANN as the custodian of the domain name system cannot allow problems that undermine the public interest to exist without taking action. Although the ICANN Board already has the authority to enact policy where the stability or security of the DNS is impacted, not all problems that need addressing meet security/stability criteria.  Although ICANN is not a formal “regulator” it is in a position where it must have the tools to act in ways similar

	<p>to a regulator when the public interest is threatened.</p> <p>That being said, the concept of a unilateral change is not one that many in At-Large feel comfortable with. The ALAC urges ICANN and Registrars to find some common ground that will allow the RAA to be changed in the middle of five-year contracts, similar to how it does for formal Consensus Policies (CP), but for issues that are not subject to CP or where the PDP route is simply too long or unable to effectively address the problem.</p>
RAA 6.7.2 – Definition of Registrar Approval	The ALAC has no strong position on whether the proposed rules are reasonable or not.
Whois Accuracy Program Specification 1, 2, 4 – Registrant identification and contact information	The ALAC supports the ICANN position of using all available information in addressing Whois Accuracy, not solely that which is in the current Whois record.
Whois Accuracy Program Specification 1e – Information availability	The ALAC is unsure of the subtle difference in meaning between “made available” and “readily available”. If the issue being addressed by the Registrars is a matter of cost or effort required to avail oneself of the information, that should be made much clearer and not rely on the vague term “readily” which is too subject to varying definitions.
Whois Accuracy Program Specification 5 – Whois inaccuracy remedy	The ALAC believes that the start of this section is too vague. In particular, the word “occurrence” is undefined subject to misinterpretation. The ALAC suggests replacing the beginning of the sentence with “Upon a validated report or discovery of a...”, or alternately, "Upon learning of a...".
Whois Accuracy Program Specification 8 – Expert Working Group	<p>The Rationale for the Board resolution creating the Expert Working Group said “Directing the President and CEO to launch a new effort focused on the purpose and provision of gTLD directory services, to serve as the foundation of an upcoming Board-initiated GNSO PDP. The outcomes of this work should act as guidance to the Issue Report that will be presented as part of the GNSO's policy development work; as a result, the Issues Report is not expected to be produced until such time as the President and CEO determines that his work has progressed to a point that it can serve as a basis of work within the PDP.”</p> <p>From this, it is clear that the intent was that the Expert Working Group’s conclusions be funneled into a PDP, and it seems premature to have the RAA use the Special Amendment process without at least starting the PDP. It would be reasonable to allow the Special Amendment process (or what may replace it in light of the the earlier comments) to be used when and if it is apparent that the PDP was not progressing with a reasonable chance of a suitable outcome.</p>
Consensus Policies 1.2.4 – Taking into account use of domain name	Although the ALAC understand the possible difficulty of having a registrar analyze the usage of a particular domain, one cannot totally ignore such usage either. Any policy that

	includes the requirement to factor in use of a domain name may be difficult to craft so that it can be effective, but the RAA should not preclude such efforts.
Consensus Policies 1.3.4 – Details of accuracy and up-to-date specification	It is unclear what the effect would be of the Registrar request to omit the detailed list of issues that are subject to Consensus/Special Policy. If the omission implies that such issues would be out-of-bounds for future policy, the ALAC does not agree.
Data Retention Specification 1.1.8 – Card-on-File	The impact of this change is unclear. If it is referring to credit card information where a registrar or client chooses to not have the registrar save the card number for future use, the issue is a difficult one. The ALAC understands the benefit of maintaining such information for forensic purposes, but at the same time believes strongly that a consumer should be able to require that such information not be stored and therefore subject to hacking and theft.
Data Retention Specification 2 – Trigger for exemptions	The ALAC supports the Registrar position of allowing a contracted party to comply with local law before they are under investigation or cited. Although this puts a larger burden on ICANN to validate the claim, it is a reasonable request. This is particularly true in the case of a new entry into the field (something that ICANN desperately needs in many parts of the world) where it is completely unreasonable to expect an entity to invest in a new business that will implicitly be violating existing law when it starts.