



AT-LARGE ADVISORY COMMITTEE

ALAC Statement on the ICANN Draft Five-Year Operating Plan (FY16-FY20)

Introduction

Leon Sanchez, ALAC member from the Latin American and Caribbean Islands Regional At-Large Organization (LACRALO) and ALAC Leadership Team (ALT) member, Rafid Fatani, ALAC member from the Asian, Australasian and Pacific Islands Regional At-Large Organization (APRALO), Holly Raiche, ALAC member from APRALO and ALT member, Glenn McKnight, ALAC member from the North American Regional At-Large Organization (NARALO), Vanda Scartezini, ALAC member from LACRALO, and Beran Dondeh Gillen, ALAC member from the African Regional At-Large Organization (AFRALO) composed an initial draft of this Statement after discussion of the topic within At-Large and on the Mailing Lists.

On 11 December 2014, this Statement was posted on the [At-Large ICANN Draft Five-Year Operating Plan Workspace](#).

On 17 December 2014, Alan Greenberg, Chair of the ALAC, requested ICANN Policy Staff in support of the ALAC to send a Call for Comments on the Recommendations to all At-Large members via the [ALAC-Announce Mailing List](#).

On 05 January 2015, a version incorporating the comments received was posted on the aforementioned workspace and the Chair requested that Staff open an ALAC ratification vote on the proposed Statement from 05 January 2015 to 12 January 2015.

The Chair then requested that a Statement, referenced AL-ALAC-ST-0115-01-00-EN, be transmitted to the ICANN public comment process, copying the ICANN Staff member responsible for this topic, with a note that the Statement is pending ALAC ratification.

On 12 January 2015, Staff confirmed that the online vote resulted in the ALAC endorsing the Statement with 15 votes in favor, 0 vote against, and 0 abstention. You may review the result independently under: <https://www.bigpulse.com/pollresults?code=4461rebSpJtnbSkdH8btPQFk>

Summary

The ALAC proposes the following revision recommendations to the ICANN Draft Five-Year Operating Plan (FY16-FY20):

- Include an assessment of the possible impact that the IANA stewardship transition may have in ICANN's operations.
- Change the wording to reflect the vision that stakeholder engagement is to be encouraged by the wide ICANN community, not just by the staff.
- Include SMART implementation metrics in strategic objectives or goals where fit.
- Encourage underrepresented stakeholder groups to engage with ICANN at local, regional, and international levels and to establish metrics that reflect the scope of action.
- Change the wording "most" to "all" in the sentence "Comprehensive regional engagement plans and strategies covering most ICANN regions."

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The ALAC welcomes the opportunity to comment on the Draft Five-Year Operating Plan for FY16-FY20 and hereby submits its comments:

- We are concerned that the document does not take into account the possible impact, if any, that the IANA stewardship transition may have in ICANN's operations. Therefore, we recommend that an assessment be made of the possible impact that the IANA stewardship transition may have in ICANN's operations.
- The document seems to suggest that stakeholder engagement is to be encouraged by staff only. In this regard, our vision is that stakeholder engagement must be a task carried out by the community, including staff, but not just by staff. We therefore recommend that the wording in the document refers to the wider ICANN community, in general, and not only to staff.
- Several goals are suggested to be measured by metrics in which the only indicator refers to documents that deal with planning but not with implementation. We therefore recommend that metrics focus not only on planning but also on implementing and that implementation metrics be included in those strategic objectives or goals where fit. We recommend the metrics to be developed based on SMART criteria (i.e. specific, measureable, assignable, realistic, and time-related).
- We have repeatedly recommended that awareness and participation fostering efforts must be done at local, regional and international levels, especially with underrepresented stakeholders, regardless of their economic strength or development. We therefore recommend that engagement is encouraged with these communities and at these three levels, establishing metrics that reflect the scope of action not only with international organizations but also with regional and local entities.
- The document states that "Comprehensive regional engagement plans and strategies covering most ICANN regions" will be established in phase 1 for FY16. While we welcome the elaboration of regional engagement plans, we would like to emphasize that these plans should be made for all ICANN regions as opposed to most as stated in the document. We therefore recommend reviewing the wording in order to accommodate the suggested wording and be able to cover all ICANN regions.

The ALAC trusts that these comments will be taken into account to define the final version of ICANN's Five-Year Operating Plan.